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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
90/007,830	11/25/2005	6032137	R43261	5962

40401 7590 10/16/2007

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EXAMINER

ENGLISH, P.

ART UNIT PAPER NUMBER

3993

DATE MAILED: 10/16/2007

Please find below and/or attached an Office communication concerning this application or proceeding.



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(THIRD PARTY REQUESTER'S CORRESPONDENCE ADDRESS)

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1501 K STREET, NW
WASHINGTON, DC 20005

EX PARTE REEXAMINATION COMMUNICATION TRANSMITTAL FORM

REEXAMINATION CONTROL NO. 90/007,830.

PATENT NO. 6032137.

ART UNIT 3993.

Enclosed is a copy of the latest communication from the United States Patent and Trademark Office in the above identified *ex parte* reexamination proceeding (37 CFR 1.550(f)).

Where this copy is supplied after the reply by requester, 37 CFR 1.535, or the time for filing a reply has passed, no submission on behalf of the *ex parte* reexamination requester will be acknowledged or considered (37 CFR 1.550(g)).

**Notice of Intent to Issue
Ex Parte Reexamination Certificate**

Control No.	Patent Under Reexamination	
90/007,830	6032137	
Examiner	Art Unit	
Peter C. English	3993	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

1. Prosecution on the merits is (or remains) closed in this *ex parte* reexamination proceeding. This proceeding is subject to reopening at the initiative of the Office or upon petition. Cf. 37 CFR 1.313(a). A Certificate will be issued in view of
- (a) Patent owner's communication(s) filed: 09 August 2007.
 - (b) Patent owner's late response filed: _____.
 - (c) Patent owner's failure to file an appropriate response to the Office action mailed: _____.
 - (d) Patent owner's failure to timely file an Appeal Brief (37 CFR 41.31).
 - (e) Other: _____.
- Status of *Ex Parte* Reexamination:
- (f) Change in the Specification: Yes No
 - (g) Change in the Drawing(s): Yes No
 - (h) Status of the Claim(s):
 - (1) Patent claim(s) confirmed: 1-43.
 - (2) Patent claim(s) amended (including dependent on amended claim(s)): _____
 - (3) Patent claim(s) cancelled: _____.
 - (4) Newly presented claim(s) patentable: 44-67.
 - (5) Newly presented cancelled claims: _____.
2. Note the attached statement of reasons for patentability and/or confirmation. Any comments considered necessary by patent owner regarding reasons for patentability and/or confirmation must be submitted promptly to avoid processing delays. Such submission(s) should be labeled: "Comments On Statement of Reasons for Patentability and/or Confirmation."
3. Note attached NOTICE OF REFERENCES CITED (PTO-892).
4. Note attached LIST OF REFERENCES CITED (PTO/SB/08).
5. The drawing correction request filed on _____ is: approved disapproved.
6. Acknowledgment is made of the priority claim under 35 U.S.C. § 119(a)-(d) or (f).
a) All b) Some* c) None of the certified copies have
 been received.
 not been received.
 been filed in Application No. _____.
 been filed in reexamination Control No. _____.
 been received by the International Bureau in PCT Application No. _____.
- * Certified copies not received: _____.
7. Note attached Examiner's Amendment.
8. Note attached Interview Summary (PTO-474).
9. Other: _____.

Peter C. English
Primary Examiner
Art Unit: 3993

cc: Requester (if third party requester)

EXAMINER'S AMENDMENT

1. An examiner's amendment to the record appears below. The changes made by this examiner's amendment will be reflected in the reexamination certificate to issue in due course.

2. The paragraph at column 6, lines 23-26 of the specification has been amended as follows:

Since [DataGlyph] DataGlyphTM elements represent a large amount of information in a small amount of space, the DAT scanner [100] 202 will require a small amount of time to input a large amount of information.

3. The above examiner's amendment corrects an informality in patent owner's amendment filed on 09 August 2007. Specifically, the amendment is placed in compliance with 37 CFR 1.530(f), which requires that matter to be omitted must be enclosed in brackets (not struck through).

STATEMENT OF REASONS FOR PATENTABILITY AND/OR CONFIRMATION

4. The following is an examiner's statement of reasons for patentability and/or confirmation of the claims found patentable in this reexamination proceeding:

Claim 1 of Patent No. 6,032,137 is patentable because the cited prior art patents and printed publications fail to teach a system, as defined in claim 1, wherein one or more remote image capturing subsystems provide encrypted subsystem identification information to a central data processing subsystem.

Claims 2-25 and 44-50 are patentable because of their dependency from claim 1.

Claim 26 of Patent No. 6,032,137 is patentable because the cited prior art patents and printed publications fail to teach a method, as defined in claim 26, including capturing an image of paper transaction data at one or more remote locations, encrypting information identifying a subsystem (i.e., at the remote location) along with the transaction data, and collecting and processing the transaction data at a central location.

Claims 27-41 and 51-55 are patentable because of their dependency from claim 26.

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Claim 42 of Patent No. 6,032,137 is patentable because the cited prior art patents and printed publications fail to teach a system, as defined in claim 42, wherein one or more remote image capturing subsystems provide encrypted subsystem identification information to a central data processing subsystem.

Claims 56-62 are patentable because of their dependency from claim 42.

Claim 43 of Patent No. 6,032,137 is patentable because the cited prior art patents and printed publications fail to teach a method, as defined in claim 43, including capturing an image of paper transaction data from a check at one or more remote locations, encrypting information identifying a subsystem (i.e., at the remote location) along with the transaction data, and collecting and processing the transaction data at a central location.

Claims 63-67 are patentable because of their dependency from claim 43.

5. Any comments considered necessary by PATENT OWNER regarding the above statement must be submitted promptly to avoid processing delays. Such submission by the patent owner should be labeled: "Comments on Statement of Reasons for Patentability and/or Confirmation" and will be placed in the reexamination file.

Drawings

6. The corrected formal drawing sheets filed on 09 August 2007 have been approved by the examiner.

Remarks

7. Responses to this Office action may be submitted by facsimile and should be directed to the Central Reexamination Unit using facsimile number 571-273-9900. A confirmation of receipt will be generated automatically for all papers transmitted via this facsimile number.

All responses to be delivered by the US Postal Service (USPS) should be addressed:

Mail Stop Ex Parte Reexam
Central Reexamination Unit
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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Hand-delivered responses should be labeled "Attn: Central Reexamination Unit" and delivered to:

Customer Service Window
Randolph Building, Lobby Level
401 Dulany Street
Alexandria, VA 22314

Submissions for reexamination proceedings may also be submitted through EFS-Web (the USPTO's web-based document submission system).

8. Any document filed by either the patent owner or third party requester ***must be served*** on the other party (or parties in a merged proceeding) in the reexamination proceeding in the manner provided by 37 CFR 1.248. See 37 CFR 1.550(f) and MPEP 2266.03.

9. 37 CFR 1.33(c) has been revised to provide that the patent owner's correspondence address for all communications in an *ex parte* reexamination or an *inter partes* reexamination is designated as the correspondence address of the patent. See *Revisions and Technical Corrections Affecting Requirements for Ex Parte and Inter Partes Reexamination*, 72 FR 18892 (April 16, 2007)(Final Rule).

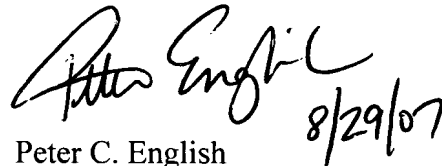
The correspondence address for any pending reexamination proceeding not having the same correspondence address as that of the patent is, by way of this revision to 37 CFR 1.33(c), automatically changed to that of the patent file as of the effective date. This change is effective for any reexamination proceeding which is pending before the Office as of May 16, 2007, including the present reexamination proceeding, and to any reexamination proceeding which is filed after that date. ***Parties are to take this change into account when filing papers***, and direct communications accordingly.

In the event the patent owner's correspondence address listed in the papers (record) for the present proceeding is different from the correspondence address of the patent, it is strongly encouraged that the patent owner affirmatively file a Notification of Change of Correspondence Address in the reexamination proceeding and/or the patent (depending on which address patent owner desires), to conform the address of the proceeding with that of the patent and to clarify the record as to which address should be used for correspondence.

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10. Any inquiry concerning this communication or earlier communications from the Reexamination Examiner should be directed to Peter English whose telephone number is 571-272-6671. The examiner can normally be reached on Monday through Thursday (7:00 AM - 5:00 PM). If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andres Kashnikow, can be reached at 571-272-4361.

For general information regarding reexamination proceedings please call the Central Reexamination Unit at 571-272-7705. For guidance on reexamination practice and procedure please call the Office of Patent Legal Administration at 571-272-7703. Information regarding this reexamination proceeding may be obtained from the Patent Application Information Retrieval (PAIR) system. For more information about the PAIR system, see <http://pair-direct.uspto.gov>.



Peter C. English
Primary Examiner
Central Reexamination Unit

Conferees:



pe

29 August 2007